**UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. FAUDMAN

Total Pages in this Submis

### TO THE ASSISTANT COMMISSIONER FOR PATENTS

**Box Patent Application** Washington, D.C. 20231

	nitted h on entit		for fi	ling under 3	5 U.S	S.C. 111(a) and	37 C.F.R. 1.53	3(b) is a new utility patent application for an
0	REA	L E	STA	TE I	NA	RMATTO N	ExcHA	NGE Process and System
and inv	ented l	by:						
			$\mathcal{I}$	David	)	Allen	Fau	dman
If a CC	JNITNC	OITAL	N APF	PLICATION,	chec	ck appropriate b	ox and supply	the requisite information:
□ c	ontinu	ation		Divisional		Continuation	-in-part (CIP)	of prior application No.:
Which  C Which	ontinu	ation		Divisional		Continuation	-in-part (CIP)	of prior application No.:
		ation		Divisional	·	Continuation	-ìn-part (CIP)	of prior application No.:
Enclos	sed are	:				Applicatio	n Elements	
1.	<b>X</b> F	iling fee	as c	alculated an	d trar	nsmitted as des	cribed below	
2.	໘ s	pecifica	ation h	naving	4	19	_ pages and i	including the following:
	а. 🗴	Des	criptiv	ve Title of th	e Inve	ention		
	b. 🗀	) Cro	ss Re	eferences to	Relat	ted Applications	(if applicable)	)
•	c. 🗆	] Stat	emer	nt Regarding	Fede	erally-sponsore	d Research/De	evelopment (if applicable)
	d. 🗀	] Ref	erenc	e to Microfic	he A	ppendix (if appl	icable)	
	e. 🔀	<b>S</b> Bac	kgrou	and of the In	ventic	on		
	f. 🛚	Brie	f Sun	nmary of the	Inve	ntion		
	g. 🗽	Î Brie	f Des	cription of th	ne Dra	awings <i>(if drawi</i>	ngs filed)	
	h. 🕽	<b>(</b> Det	ailed	Description				
	i. 🕽	Clai	m(s)	as Classifie	d Belo	ow		
	.i.	Abs	tract	of the Disclo	sure			

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72

	Application Elements (Continued)										
3.	×	Drawing(s) (when necessary as prescribed by 35 USC 113)									
	a.	☐ Formal b. ☑ Informal Number of Sheets									
4.	×	Oath or Declaration									
	a.	▼ Newly executed (original or copy)  □ Unexecuted									
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)									
	C.	With Power of Attorney   Without Power of Attorney									
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).									
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.									
6.		Computer Program in Microfiche									
7.		Genetic Sequence Submission (if applicable, ali must be included)									
	a.	☐ Paper Copy									
	b.	☐ Computer Readable Copy									
	C.	Statement Verifying Identical Paper and Computer Readable Copy									
	Accompanying Application Parts										
8.		Assignment Papers (cover sheet & documents)									
9.		37 CFR 3.73(b) Statement (when there is an assignee)									
10.		English Translation Document (if applicable)									
11.		Information Disclosure Statement/PTO-1449									
12.		Preliminary Amendment									
13.	×	Acknowledgment postcard									
14.	×	Certificate of Mailing									
		☐ First Class ★ Express Mail (Specify Label No.): FT 049 468 865 US									

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		Accompanying Application Parts (Continued)
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	À	Small Entity Statement(s) - Specify Number of Statements Submitted:  ASSERTION IS MARE
17.		Additional Enclosures (please identify below):
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.
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#### Fee Calculation and Transmittal

		CLAIMS	AS FILED				
For	#Filed	#Allowed	#Extra	R	Rate		
Fotal Claims	33	- 20 =	/3	x \$	69.00	1,67.00	
ndep. Claims	2_	- 3 =	0	× \$4	40.00	\$0.00	
Multiple Dependent Cla	aims (check if	applicable)				\$0.00	
BASIC FEE							
OTHER FEE (specify purpose)							
TOTAL FILING FEE							
☐ Charge any ☐ Charge the	A duplicate of amount of overpayment. additional filin issue fee set in 37 C.F.R. 1.37	opy of this shee g g fees required n 37 C.F.R. 1.18	et is enclosed as filing fee. under 37 C.F	.R. 1.16 and ag of the Notice		7,055	

cc: